

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



February 8, 2022

TRANSMITTAL

TO : Jason John, Director
Navajo Department of Water Resources (DWR) / DNR

FROM : cm
Cordell Shortey, Contracting Officer
Contracts and Grants Section / OMB

SUBJECT : NTUA - Septic Systems Installation; Defunded CARES Act

I. Information on Contract (per Original Award):

Navajo Nation Fiscal Recovery Funds (NN FRF) - NTUA	U.S. Treasury American Recovery Plan Act (ARPA)	21.019
Title of Contract	Funding Agency	CFDA No. - Federal
CJY-41-21	\$ 11,105,636.00	2022
Grant No.	Amount	Fiscal Year
		Term - Begin and End Date
		03/11/2021 to 12/31/2026

II. Data Entered in FMIS Regarding:

☒ New Contract or Grant Company No. 8059 Business Unit (K#) K211530
☐ Contract Mod No. _____
☐ Amt of Award _____ to _____
AMOUNT FROM TO
☐ Budget Period - Extend End Date: From _____ To _____
☐ Other, specify: _____

Authorizing Document - Attached:

☐ Contract / Agreement - Date executed _____
☐ NNC / Committee Resolution - No. & Date _____
☒ Other, specify: NN Council Resolution CJY-41-21; Letter by President Nez to NTUA of 9/28/21.

III. Comments by CGS:

Budget received on January 24, 2022 is authorized for implementation pursuant to FY 2022 NN BIM Appendix L Section II.B and approved by Mr. Tom Platero. Delay in Business Unit set up is due to letter of allocation to NTUA regarding NTUA defunded CARES ACT not being provided until February 4, 2022.

Attachment

Copy: Contract files
Contract Accounting / OOC / DPM
Tom Platero, Interim E.D. - NN FRF Office

Revised April 2018



Office of Management and Budget ♦ Post Office Box 646 ♦ Window Rock, AZ 86515
(928) 871-6470 Telephone ♦ (928) 871-6567 Facsimile

K211530

RECEIVED

2:00 pm, Jan 24 2022

The Navajo Nation
Office of Management and Budget
Window Rock, Arizona

FY 2022

THE NAVAJO NATION
PROGRAM BUDGET SUMMARYPage 1 of 4
BUDGET FORM 1

Septic Systems Installation

PART I. Business Unit No.: NEW Program Title: ARPA - 5.3 ~~Clean Water~~ NTUA Defunded CARES Division/Branch: Division of Natural Resources

Prepared By: Jason John Phone No.: 928 729 4003 Email Address: jasonjohn@navajo-nsn.gov

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
CJY-41-21 ARPA NNFRF	10/1/22-9/30/26	11,105,636		2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance	6	0	11,105,636	11,105,636
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	11,105,636	11,105,636
				PART IV. POSITIONS AND VEHICLES				
						(D)	(E)	
				Total # of Positions Budgeted:			0	
				Total # of Vehicles Budgeted:			0	
TOTAL:		\$11,105,636	0%					

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Jason John, Director, DWR APPROVED BY: Dr. Rudolph Shebala, Director, DNR

Program Manager's Printed Name Division Director / Branch Chief's Printed Name


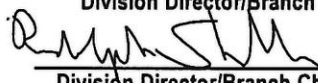
Program Manager's Signature and Date 1/24/2022 Division Director / Branch Chief's Signature and Date 1-24-2022

1-24/2022

FY 2022

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 4
BUDGET FORM 2

PART I. PROGRAM INFORMATION:										
Business Unit No.: <u>NEW</u>			Program Name/Title: <u>ARPA - 5.3 Clean Water - NTUA Defunded CARES</u>							
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:										
Pursuant to RDCO-79-16: A) The Department of Water Resources shall provide stewardship to manage, provide comprehensive water planning, compile information on existing surface and groundwater resources, coordination of the development and utilization of the Navajo Nation's waters to manage existing uses and to plan for future adequate water resources for domestic, industrial, agricultural, recreation, wildlife, aquatic life and other beneficial uses for maximum beneficial use to enhance the natural and human environment and exercise the sovereignty of the Navajo Nation over its waters; etc.										
PART III. PROGRAM PERFORMANCE CRITERIA:			FY 2022		FY 2023		FY 2024		FY 2025	
			Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:										
To install or upgrade 78 septic systems at various chapters of the Navajo Nation										
Program Performance Measure/Objective:										
To install or upgrade 78 septic systems at various chapters of the Navajo Nation					25		25		28	
2. Goal Statement:										
Program Performance Measure/Objective:										
3. Goal Statement:										
Program Performance Measure/Objective:										
4. Goal Statement:										
Program Performance Measure/Objective:										
5. Goal Statement:										
Program Performance Measure/Objective:										
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.										
<u>Jason John, Director, DWR</u> Program Manager's Printed Name			<u>Dr. Rudolph Shebala, Director, DNR</u> Division Director/Branch Chief's Printed Name							
 Program Manager's Signature and Date			 Division Director/Branch Chief's Signature and Date							
1/24/2022			1-24-2022							

1-24-2022

FY 2022

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 3 of 4
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title:		ARPA - 5.3 Clean Water - NTUA Defunded CARES	Business Unit No.: NEW
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8000	Assistance NTUA's ARPA Septic System installation program & 5.3 Clean Water: Decentralized Wastewater: This project consists of installing septic systems to outlying homes. It is important to note that although NTUA has been asked to separate waste water from clean water, NTUA will be installing either a water line or a cistern system to all homes where it installs a septic system. The reason is that clean water needs to have a system to exit as wastewater. Installation of septic systems and a clean drinking water system will directly address one of the major sanitation deficiencies that is connected to the high outbreak of COVID-19 on the Navajo Nation.		11,105,636
8780	Entities .8785 Entity Grants NUTA Sub- 2 Septic System Projects for Homes in Indian Wells \$ 285,235.04 2 Septic System Projects for Homes in White Cone \$ 285,235.04 1 Septic System Projects for Homes in Chilchinbeto \$ 142,617.52 3 Septic System Projects for Homes in Kayenta \$ 427,852.55 5 Septic System Projects for Homes in Chichiltah \$ 713,087.59 3 Septic System Projects for Homes in Shonto \$ 427,852.55 3 Septic System Projects for Homes in Naschitti \$ 427,852.55 2 Septic System Projects for Homes in Kinlichee \$ 285,235.04 3 Septic System Projects for Homes in Round Rock \$ 427,852.55 1 Septic System Projects for Homes in Rock Point \$ 142,617.52 1 Septic System Projects for Homes in Tsaille \$ 142,617.52 4 Septic System Projects for Homes in Wide Ruins \$ 570,470.07 1 Septic System Projects for Homes in Houck \$ 142,617.52 3 Septic System Projects for Homes in Bird Springs \$ 427,852.55 3 Septic System Projects for Homes in Leupp \$ 426,168.00 3 Septic System Projects for Homes in Bird Springs \$ 426,168.00 2 Septic System Projects for Homes in Klageetoh \$ 284,112.00 2 Septic System Projects for Homes in Ganado \$ 284,112.00 3 Septic System Projects for Homes in Oljato \$ 426,168.00 1 Septic System Projects for Homes in Tuba City \$ 142,056.00 1 Septic System Projects for Homes in St. Michaels \$ 142,056.00 (Continued on next page)	11,105,636	
TOTAL		11,105,636	11,105,636

10/27/22

FY 2022

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 4 of 4
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>ARPA - 5.3 Clean Water - NTUA Defunded CARES</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	8000 Assistance (Continued)		11,105,636
	3 Septic System Projects for Homes in Chichiltah \$ 426,168.00		
	2 Septic System Projects for Homes in Kayenta \$ 284,112.00		
	4 Septic System Projects for Homes in Chilchinbeto \$ 568,224.00		
	3 Septic System Projects for Homes in Dilkon \$ 426,168.00		
	3 Septic System Projects for Homes in Indian Wells \$ 426,168.00		
	3 Septic System Projects for Homes in Greasewood Springs \$ 426,168.00		
	1 Septic System Projects for Homes in Black Mesa \$ 142,617.52		
	3 Septic System Projects for Homes in Forest Lake \$ 427,852.55		
	1 Septic System Projects for Homes in Iyanbito \$ 142,617.23		
	3 Septic System Projects for Homes in Leupp \$ 427,852.55		
	3 Septic System Projects for Homes in Dilkon \$ 427,852.55		
	Total \$ 11,105,636.00		
TOTAL		-	11,105,636

1.1.2022

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**



**Page 1 of 1
PROJECT FORM**

PART I. Business Unit No.: <u>new</u> Project Title: <u>5.3 Clean Water: Decentralized Wastewater - NTUA CARES Defunded</u> Project Description <u>The purpose and mission of this project is to install or upgrade 78 septic systems at various chapters of the Navajo Nation.</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification		PART II. Project Information Project Type: <u>Water/Wastewater</u> Planned Start Date: <u>2/1/2022</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>Jason John</u>																																																																																																																																																																						
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc. <table border="1" style="width:100%; border-collapse: collapse; text-align: center;"> <tr> <th colspan="12">FY 2022</th> <th colspan="12">FY 2023</th> <th colspan="6" rowspan="2">Expected Completion Date if project exceeds 8 FY Qtrs. Date 9/30/2026</th> </tr> <tr> <th colspan="3">1st Qtr.</th> <th colspan="3">2nd Qtr.</th> <th colspan="3">3rd Qtr.</th> <th colspan="3">4th Qtr.</th> <th colspan="3">1st Qtr.</th> <th colspan="3">2nd Qtr.</th> <th colspan="3">3rd Qtr.</th> <th colspan="3">4th Qtr.</th> </tr> <tr> <th>O</th><th>N</th><th>D</th> <th>J</th><th>F</th><th>M</th> <th>A</th><th>M</th><th>J</th> <th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th> <th>J</th><th>F</th><th>M</th> <th>A</th><th>M</th><th>J</th> <th>Jul</th><th>A</th><th>S</th> <th>O</th><th>N</th><th>D</th> <th>J</th><th>F</th><th>M</th> </tr> <tr> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td>25</td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td>25</td> <td></td><td></td><td></td> <td></td><td></td><td></td> </tr> <tr> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td></td> <td></td><td></td><td>25</td> <td></td><td></td><td></td> <td></td><td></td><td></td> </tr> </table>																								FY 2022												FY 2023												Expected Completion Date if project exceeds 8 FY Qtrs. Date 9/30/2026						1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M												25												25																														25						
FY 2022												FY 2023												Expected Completion Date if project exceeds 8 FY Qtrs. Date 9/30/2026																																																																																																																																																
1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.																																																																																																																																																			
O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M																																																																																																																																											
											25												25																																																																																																																																																	
																							25																																																																																																																																																	
PART V. Expected Quarterly Expenditures	\$			\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL																																																																																																																																															
							11,105,636.00																		\$11,105,636.00																																																																																																																																															

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____

1-18 9/21/22